

RBC DAIN RAUSCHER

ANNUAL PRODUCTION	BASE COMMISSION (1)	WAP BONUS (2)	WAP MATCH (3)	RETIREMENT PLAN MATCH (3)	TOTAL COMPENSATION (4)
\$0-\$174,999	20%	0%	0%	0%-1%	20%-21%
\$175,000-\$199,999	28%	0%	0%	0%-0.9%	28%-28.9%
\$200,000-\$224,999	32%	0%	0%	0%-0.8%	32%-32.8%
\$225,000-\$249,999	35%	0%	0%	0%-0.7%	35%-35.7%
\$250,000-\$299,999	37%	0%	0%	0%-0.6%	37%-37.6%
\$300,000-\$349,999	39%	1.75%	0%-1.5%	0%-0.5%	40.75%-42.75%
\$350,000-\$399,999	40%	1.75%	0%-1.5%	0%-0.4%	41.75%-43.65%
\$400,000-\$499,999	41%	2.50%	0%-1.5%	0%-0.4%	43.5%-45.4%
\$500,000-\$599,999	42%	2.50%	0%-3.2%	0%-0.3%	44.5%-48%
\$600,000-\$799,999	43%	3.50%	0%-3.5%	0%-0.3%	46.5%-50.3%
\$800,000-\$999,999	44%	3.50%	0%-3.6%	0%-0.2%	47.5%-51.3%
\$1 million-\$1,249,999	45%	3.50%	0%-4.4%	0%-0.2%	48.5%-53.1%
\$1.25 million-\$1,499,999	45%	3.75%	0%-4.4%	0%-0.1%	48.75%-53.25%
\$1.5 million or more	45%	5%	0%-4.4%	0%-0.1%	50%-54.5%

(1) Monthly base commission rate is based on the trailing-six months production.

(2) Wealth Accumulation Plan productivity bonus. Requires five-year vesting period that is cancelled if the advisor leaves the company.

(3) Company match range on voluntary deferrals to the WAP and retirement plan. Range is based on no advisor participation and full advisor participation.

(4) Total compensation excludes the impact of interest compensation, company-paid benefits, Social Security and certain deductions to base commission.